

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Ashley et al.

Serial No.: 10/621,927

Filing Date: 07/17/2003

For: Method and system for stepping up to
certificate-based authentication without
breaking an existing SSL session

§ Group Art Unit: Unknown

§

§ Examiner: Unknown

§

§ Attorney Docket No.: AUS920030169US1

§

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Joseph R. Burwell, Reg. No 44,468

TRANSMITTAL DOCUMENT

Transmitted herewith are the following:

- ☒ Information Disclosure Statement (IDS) with foreign search report;
- ☒ Form PTO-1449;
- ☒ Copy of references for accompanying IDS (4);
- ☒ A self-addressed, stamped postcard to be returned to sender.

DATE: February 7, 2005

Respectfully submitted,

Joseph R. Burwell

Reg. No. 44,468

ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell

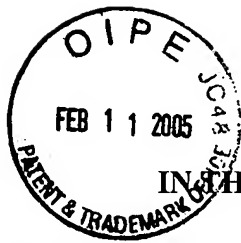
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Ashley et al.**

Serial No.: **10/621,927**

Filing Date: **07/17/2003**

For: **Method and system for stepping up to certificate-based authentication without breaking an existing SSL session**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first office action on the merits or within three months of the mailing of a foreign search report. No fee or certification is required. Additionally, each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application. A copy of the related International Search Report is included herewith.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

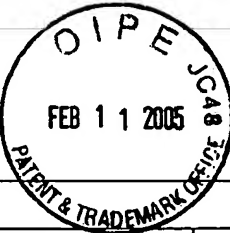
Date: February 7, 2005

Respectfully submitted,



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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920030169US1		SERIAL NO. 10/621,927	
			APPLICANT Ashley et al.			
			FILING DATE 07/17/2003		GROUP ART UNIT	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
	WO 01/11451	02/15/2001	WIPO	-----		
	WO 00/27089	05/11/2000	WIPO	-----		
	WO 02/39237	05/16/2002	WIPO	-----		
	EP 1280317 A	01/29/2003	EPO	-----		

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						